

Curriculum Vitae

Esther Mennens- Wismans

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Profile

Due to my experience as a litigator and corporate lawyer, I know how to quickly and thoroughly grasp the legal issues that financial institutions encounter. With my pragmatic approach, I ensure that complex legal problems are translated into the practice of the financial institution.

I find it very important that everyone in the organization understands the new laws and regulations and therefore I make this transparent. This way I make sure that all layers of the organization are involved in the implementation of laws and regulations. I find it a challenge to ensure, within the limitations of a financial institution (such as old IT systems, legacy, etc.), that the institution complies with the laws and regulations.

I also enjoy thinking about innovation in the financial sector. I make sure that new innovation apps also comply with laws and regulations, without compromising on user experience.

Work experience

2019-heden **Regulatory & Legal Consultant**, Charco & Dique

2015-2019 **Senior Legal Counsel Private Banking**, ABN AMRO Bank N.V.

- Advising on and implementing new Dutch and European legislation in the field of securities law (MiFID II/MiFIR, PRIIPs, SHRD II, CSDR, BMR, Amendment of Wge)
- Participating in advocacy organizations: NVB and DACSI

- Advising Securities Operations on various topics
 - Questions from custodians, brokers and trading platforms
 - Questions about asset segregation
 - Questions about order execution and best execution
- Advising on new investment products and concepts
- Advising on the provision of cross border services (provision of services to clients outside the home state)
- Negotiating different types of contracts (distribution, clearing and custody agreements)
- Advising on and co-developing a new asset management app
- Advising on marketing communications
- Legal support for inquiries from the regulator
- Advising on the legal implications of a no-deal Brexit

2011-2015

Lawyer, Bos & Partners advocaten

- Drafting legal analysis of the position of clients in relation to banks, investment firms and insurance companies
- Drafting liability statements
- Litigating on claims of clients against banks, investment firms and insurance companies with respect to investment instruments, derivatives (including interest rate swaps), investment insurance and financing contracts

Expertise

National and international financial supervisory laws, Banks and investment firms, Brexit, ESG regulation.

Achievements & projects

- Implementation of MiFID II/MiFIR, Wge amendment, PRIIPs, SHRD II and CSDR at a bank
- Implementation of MiFID II for an investment firm
- Implementation of SFDR for pension funds, asset managers and investment firms
- Drafting consultation responses MiFID, CSDR, PRIIPs, SHRD II, Wge
- Advising on and rolling out country policy (provision of cross-border services) at a bank
- Developing an asset management app
- Impact analysis no-deal Brexit for a bank

Education & courses

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|-----------|-------------------------------------------------------------------------------------------|
| 2016-2017 | Securities Law, CPO Nijmegen |
| 2011-2014 | Lawyer, Dutch Bar Association |
| 2009-2010 | Dual Master of Business & Law, Radboud University Nijmegen |
| 2008-2009 | Master International & European law, Radboud University Nijmegen and University of Sydney |
| 2007-2009 | Honours program, Radboud University Nijmegen |
| 2005-2008 | Bachelor Dutch law and bachelor International & European Law, Radboud University Nijmegen |

Languages

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| Dutch | Native |
| English | C1 |

Interests

Exercising and traveling.