

Curriculum Vitae

Martijn Snijder

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Profile

My expertise lies at the interface between laws and regulations and financial markets, particularly in the field of financial institutions such as banks, asset managers and fund managers. In my role as (external) compliance officer and compliance consultant, I advise clients with regard to duty of care, product development, compensation policy, conflicts of interest and integrity risks. Additionally, I assist clients in the process of applying for a license, the verification of directors and contacts with the AFM and DNB. I am able to translate complex laws and regulations to practical solutions and provide clients with strategic advice about their possibilities within the legal framework.

Work experience

- 2014-present **Consultant Financial Laws and Regulations, Charco & Dique**
- Implementing new regulations (MiFID II, AIFMD, Market Abuse Regulation)
 - Providing workshops on specific legislation and regulations
 - Drawing up compliance charter and annual plan
 - Performing compliance risk analyses and SIRAs
 - Reviewing and improving internal policies
 - Maintaining contact with regulators
 - Supervising license applications at the AFM
 - Filling the role of Compliance Officer at investment firms and institutions

- 2012-2014 **Local Compliance Officer**, Rabobank IJmond
- Setting up and structuring the Compliance function at local Rabobank
 - Subsequently held the position of Compliance Officer
 - Overall: monitoring compliance with statutory rules and internal regulations, and promoting the management of compliance risks within the local bank
 - Conducting investigations into and/or advising on (internal) fraud cases and incidents
 - Involved in the formulation and implementation of CDD policy (known within Rabobank as Customer Integrity)
- 2010-2012 **Consultant**, Financial Expertise Centrum (FEC)
Multidisciplinary partnership of AFM, DNB, FIOD, Police, OM, Tax authorities and FIU
- Setting up and implementing the structural exchange of information between the FEC partners (DNB, AFM, police, justice department, AIVD, FIOD and tax authority)
 - Strengthening and coordinating the mutual cooperation between the FEC partners by organizing, among other things, theme days, expert meetings and case conferences
- 1999-2010 **Dutch Authority for the Financial Markets**
Dutch conduct supervisor of financial markets
- 2003-2010 **Supervisor (banks)**
 - Account manager large banks
 - Coordinating and participating in projects: performing investigations to solve specific market-wide problems (e.g., investigate providers of investments in teak plantations and single-premium policies, mortgage fraud)
 - Investigating and solving urgent supervisory issues
 - Initiating supervisory measures
 - 1999-2003 **Employee**
 - Conducting investigations at securities institutions
 - Conducting director's reviews
 - Processing license applications
- 1997-1999 **ABN AMRO Bank**
Globally operating financial institution
- 1998-1999 **Accountmanager**
 - Financial planning and relationship management
 - Advice on credit, insurance, investments and pensions
 - 1997-1998 **Trainee**

Expertise

- Financial supervisory legislation, (compliance) risk management, Chinese walls, order routing, asset segregation and determination of net asset value.
 - AIFMD, MiFID II, MAR, Wwft.
 - Regulatory Compliance, European regulations, corporate governance.
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Achievements & projects

- Advising on implementation processes for new regulations such as Mifid II and AVG (GDPR)
 - Carrying out compliance tasks at banks, insurers and investment firms
 - Carrying out integrity investigations into fraud and bribery
 - Project recovery for interest rate derivatives for SMEs
 - Setting up and implementing CDD policy
 - Supporting institutions in communication with regulators
 - Performing Strategic Integrity Risk Analyses (SIRA)
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Education & courses

2013-2014	Certified Compliance Professional, NCI
1999-2001	Business economics, University of Amsterdam
1993-1997	Business economics, HEAO

Interests

Tennis, running, architecture.